

Docket No. JBP0438

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Miri Seiberg et al.

Serial No. : 09/206,249

Art Unit: 1654

Filed : December 7, 1998

Examiner: Michael V. Meller

For : Compositions and Methods for Regulating
Phagocytosis and ICAM-1 Expression

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May 14, 2004
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Andrea L. Colby
(Name of applicant, assignee, or Registered Representative)

Andrea L. Colby
(Signature)

May 14, 2004
(Date of Signature)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure Statement mailed on September 11, 2000, April 16, 2002, and June 23, 2003.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the

information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☐ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☒ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or

an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☒ Please charge Deposit Account No. 10-0750/JBP438/ALC the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Electronic copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith on 2 CDs.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☐ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a) (2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not

enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☐ There are no listed references which are not in the English language.

☒ The relevance of those listed references which are not in the English language is as follows:

English language abstracts are provided for CN1094279, EP0643083, FR2596986, FR2641696, FR2685202, JP6145061, JP6192085, JP7010772, JP7196527, JP7196529, JP8040824, JP8059450, JP8333260, JP9059166, JP9077638, JP9176033, JP58225004, JP59187756, JP200030202678, KR92-8853 and WO97/11033.

English language translations are provided for EP0421021, FR2803747, FR2811226, JP1096106, JP3127713, JP4169514, JP5015574, JP5213729, JP5246932, JP5320024, JP5320061, JP7304655, JP8012560, JP8020597, JP8099891, JP9025212, JP9025214, JP11346695, JP58225003, JP63068512, JP63096120, JP63227515, JP63316711, KR92-8851, RU2066992, WO96/40121, WO99/09065, WO00/15188 and WO02/07697.

English language equivalents are submitted for:

EP0643960 = US5741496
EP0655470 = US5712356 & 5936052
EP0661037 = US5629015
EP0814116 = US5871823
EP0832647 = AU695806
EP1145710 = US2002/042380 & 2003/176366
JP5114905 = EP0508886
WO87/07838 = US4978528
WO98/33089 = US6018001
WO02/07697 = US2004/009142

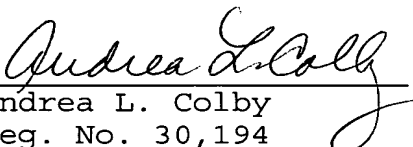
☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Copies of the references listed on the search report(s) are included except for those previously cited in an IDS mailed .

☒ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

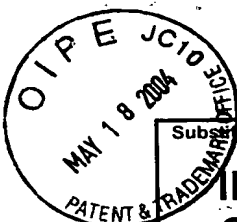
Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/JBP438/ALC. This form is submitted in triplicate.

Respectfully submitted,


Andrea L. Colby
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DATED: May 14, 2004



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

U.S. PATENT DOCUMENTS

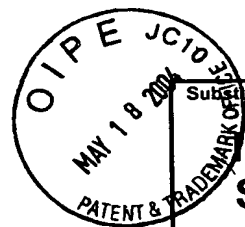
| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
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| Examiner Signature | Date Considered |
|-----------------------|--------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

U.S. PATENT DOCUMENTS

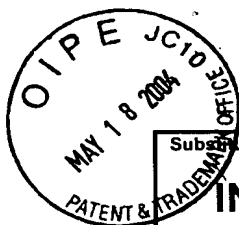
| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
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| Examiner Signature | | Date Considered | |
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Sheet 3 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
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Examiner
SignatureDate
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 21

| | |
|-------------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

U.S. PATENT DOCUMENTS

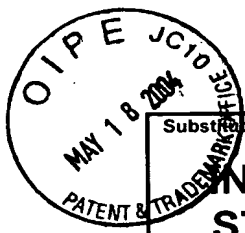
| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
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| | |
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| Examiner Signature | Date Considered |
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 5 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

U.S. PATENT DOCUMENTS

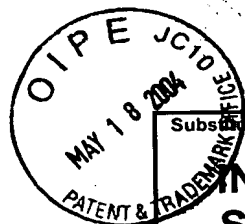
| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
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| Examiner Signature | Date Considered |
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 6 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

U.S. PATENT DOCUMENTS

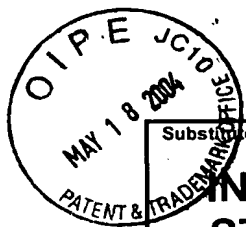
| Examiner Initials | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines, where relevant passages or relevant figures appear |
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SignatureDate
Considered

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**INFORMATION DISCLOSURE
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Sheet 7 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

U.S. PATENT DOCUMENTS

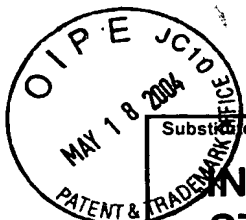
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SignatureDate
Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 8 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

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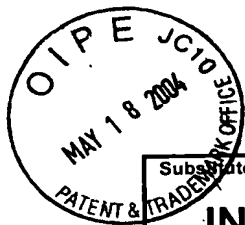
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| Examiner Signature | Date Considered |
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**INFORMATION DISCLOSURE
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| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

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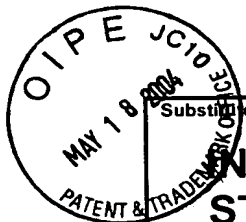
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| Examiner Signature | Date Considered |
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**INFORMATION DISCLOSURE
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Sheet 10 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

U.S. PATENT DOCUMENTS

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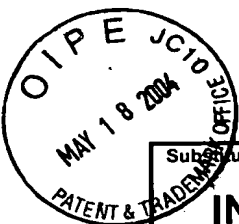
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| Examiner Signature | Date Considered |
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Sheet 11 of 21

| | |
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| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

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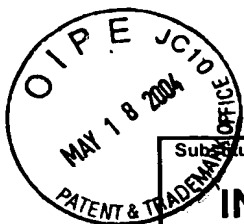
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| Examiner Signature | Date Considered |
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STATEMENT BY APPLICANT**

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Sheet 12 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

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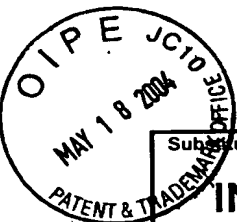
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Sheet 13 of 21

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| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

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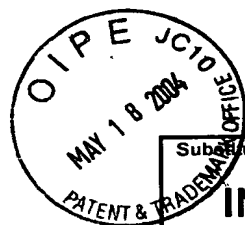
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| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

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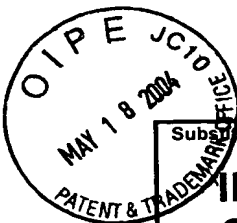
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Sheet 15 of 21

| | |
|------------------------|-------------------|
| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
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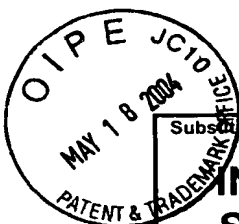
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| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
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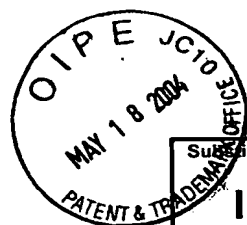
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| Attorney Docket Number | JBP0438 |

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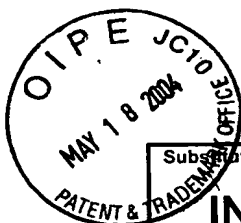
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Sheet 18 of 21

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| Application Number | 09/206,249 |
| Filing Date | December 7, 1998 |
| First Named Inventor | Miri Seiberg |
| Group Art Unit | 1654 |
| Examiner Name | Michael V. Meller |
| Attorney Docket Number | JBP0438 |

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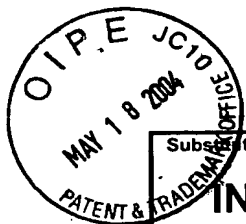
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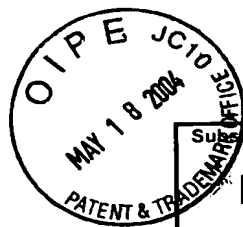
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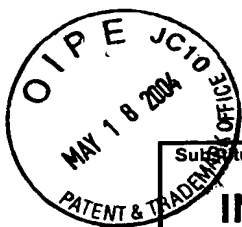
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